



FIS MARKET SURVEILLANCE MANAGER

FORMERLY PROTEGENT MARKET ABUSE

The Solution that Identifies Market Integrity Issues

In order to comply with market abuse regulations, you have to manage vast disparate sets of data while keeping abreast of wash sales, spoofing and layering across asset classes, firms and client trading.

FIS® Market Surveillance Manager (formerly Protegent Market Abuse) identifies market integrity issues such as insider trading and market manipulation in one solution. It offers analytical tools, case management and audit trails of all of your analysis and investigations, easing the burden of audits. We update its rich rules library in step with evolving regulations, while giving you the flexibility to customize the solution for your needs.

Efficiently meet your regulatory requirements and trust in one partner for all of your market surveillance needs.



GAIN GREATER VISIBILITY

Understand potential compliance risk factors while increasing operational efficiency and regulatory transparency.



MITIGATE YOUR RISK

Utilize one trusted partner for oversight and overview – and take appropriate actions to address compliance risk.



ADAPT AS YOUR NEEDS CHANGE

Combine the benefits of our comprehensive, off-the-shelf solution with the flexibility of a custom-built solution.

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MONITOR ALL YOUR ASSETS

Our single solution can monitor your equities, equity and commodity derivatives, fixed income, FX and IRD trading business, eliminating the need for a different compliance product for each asset class.

MANAGE ALERTS WITH EASE

Advanced case management – with full audit trail, case routing, exhibits and action history – allows you to easily configure alerts to suit your business needs.

CONFIGURE FOR YOUR ORGANIZATION

Hierarchy and multi-entity support empowers you to control the system based on business, roles and organizational structures.

INVESTIGATE CASES EFFICIENTLY

Our feature-rich and highly configurable user interface, charts and market replay make it easy to review cases.

Strengthen your market integrity.

GETINFO@FISGLOBAL.COM


FIS ranked #1

by Chartis in the 2021 RiskTech100
for 6th year running

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in 7 categories

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FIS

ADVANCING THE WAY THE WORLD
PAYS, BANKS AND INVESTS™