



CASE STUDY

TIER ONE INVESTMENT BANK UPGRADES TO REAL-TIME POST-TRADE PROCESSING

Global bank selects FIS® International Securities Processing Manager (formerly RIMS)

A global tier one investment bank couldn't compete with fragmented operations and legacy technology. FIS helped it achieve a true global and multi-region operating model for post-trade processing – giving it greater agility, visibility and scalability.

Challenges

- Low margins in a highly competitive environment
- Aging, siloed technology, complicating global operations
- Low operational visibility and a lack of standardization
- Ever-changing market regulations, complicating compliance
- Limited scalability, performance and agility

Solution

- A reliable technology backbone with seamless, integrated operations
- A harmonized middle to back office
- Enterprise-wide visibility and automated exception management
- Connected, flexible messaging through automated, real-time SWIFT engine
- Robust netting functionality through multiple CCPs and bilateral netting
- A configurable environment for rapid expansion and business onboarding

Why FIS?

- Complete, modular, scalable middle- and back-office securities processing
- Deep functionality within each asset class
- An event-driven, fully automated exception management hub
- A unified dashboard for vivid, real-time views
- Pre-settlement matching functionality and prediction on short positions
- Global resources with 24/5 operational and technology expertise

Share your challenges. We'll show you how to solve them.

Triggers for change

To stay competitive, the international tier one investment bank faced a multitude of challenges. It had to orchestrate its operations globally, comply with applicable regulations in respective markets, and reduce risks and costs associated with running operations at a global scale.

The bank's legacy technology portfolio across regions was acquired over the years and had become siloed. It was hard to scale as the business grew, making it difficult to nimbly align with fast-changing business dynamics. The systems provided limited operational visibility or standardization and did not generate any actionable insights on how the operation could become more efficient or cost-effective.

“By unifying its post-trade transaction processing across the European and Asia-Pacific region, the bank created the streamlined operation it needed to expand its business at speed and on a global scale.”

John Omahen,
Head of Product Management for Securities Processing, FIS

The solution for real-time, post-trade transaction processing

FIS helped the client pursue domestic and international business growth by harmonizing its business processes across multiple regions and achieving high service levels through automated exception management. By providing a reliable, unified technology backbone on which to operate and expand, FIS helped the bank create the synergies it needed to run its business and create:



Enterprise-wide and drill-down operational visibility



Cost-saving opportunities through efficient cash management and netting



A highly automated and scalable technology ecosystem

Through state-of-the-art FIS technology, the client was able to attain a significant edge in post-trade processing over its competitors, with results including:



A unified view of all exceptions with prioritized queues for efficient risk management



Operational efficiency by streamlining exception resolution at a global scale



Real-time optimized inventory management



Forward view of stock/cash ladders and coupon accruals for correct P&L postings

About FIS

FIS is a leading provider of technology solutions for merchants, banks and capital markets firms globally. Our employees are dedicated to advancing the way the world pays, banks and invests by applying our scale, deep expertise and data-driven insights. We help our clients use technology in innovative ways to solve business-critical challenges and deliver superior experiences for their customers. Headquartered in Jacksonville, Florida, FIS is a Fortune 500® company and is a member of Standard & Poor's 500® Index.



www.fisglobal.com



getinfo@fisglobal.com



twitter.com/fisglobal



linkedin.com/company/fis

©2021 FIS

FIS and the FIS logo are trademarks or registered trademarks of FIS or its subsidiaries in the U.S. and/or other countries. Other parties' marks are the property of their respective owners. 1293075



**ADVANCING THE WAY THE WORLD
PAYS, BANKS AND INVESTS™**