FIS SECURITIES LENDING TRADING APP

FORMERLY SMART LOAN

The Real-time Inventory Management and Trading Solution

Today's trading environment challenges brokers to simultaneously maximize securities lending revenues, handle growing volumes and execute high-value trades. But that's only possible with real-time inventory management and a high-level of trade automation.

FIS® Securities Lending Trading App (formerly Smart Loan) is a real-time, SEC 15c3-3-compliant inventory management and trading system that combines automation with revenue-enhancing functionality. It calculates and manages borrow requirements and availability, with extensive support for the front office, middle office and operations to automate both firm and conduit activities.

The inventory subsystem has proven 15c3-3 logic to calculate and manage real-time requirements and availability throughout the trading day, while communicating in real time with FIS® Securities Lending Processing Platform and DTCC.

MAXIMIZE REVENUE FROM HIGH-VALUE SECURITIES

Automate securities lending and borrowing, collateral management, short sale authorizations, real-time P&L and other related functions, improving your ability to generate revenue.

SUPPORT FULLY PAID LENDING

Support your fully paid lending program with FPL availabilities that are segregated within the solution. You can manually lend or auto lend as well as supporting automated memo-seg processing.

CUSTOMIZE TO ACHIEVE THE BEST PROGRAM PERFORMANCE

Supplement the base module with additional features while maintaining regulatory compliance and integration with other FIS solutions.

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STRENGTHEN COLLATERAL MANAGEMENT

Our robust bank loan module provides nearly fully-automated support for secured borrowing. You need only to change balance information once each loan's parameter profile is established. Specific securities or groups of securities may also be excluded from particular loans.

AUTOMATE STOCK LOAN RECALL

You can set parameters to automatically create and send loan recall messages in securities where there is a borrow need, net shorts exceed avail (T+1), next day deliveries, etc.

DRIVE AUTOMATED FILE-BASED AUTO BORROW

Extensive user parameters support file-based automated borrowing.

Power your inventory management and trading.

GETINFO@FISGLOBAL.COM

FIS | ADVANCING THE WAY THE WORLD PAYS, BANKS AND INVESTS™