



UNITY WEALTH PLATFORM

HOLISTIC WEALTH MANAGEMENT
Digitally Enabled. Data Driven.

Wealth management is undergoing an unprecedented shift

The investment world is quickly evolving, and wealth management providers must adapt to find better ways to retain assets and win new accounts while expanding and personalizing services to clients.

A new generation of investors is bringing heightened expectations and demanding a frictionless, immersive experience across channels. At the same time, competition from low-cost, automated alternatives is attracting new investors and driving down fees. To succeed, wealth management providers must leverage technology and data effectively to provide seamless, multi-channel, highly personalized experiences across multiple generations, while increasing advisor productivity and driving efficiencies throughout their operations.



Provide holistic wealth services regardless of role or line of business.

A unified experience for the future of wealth management

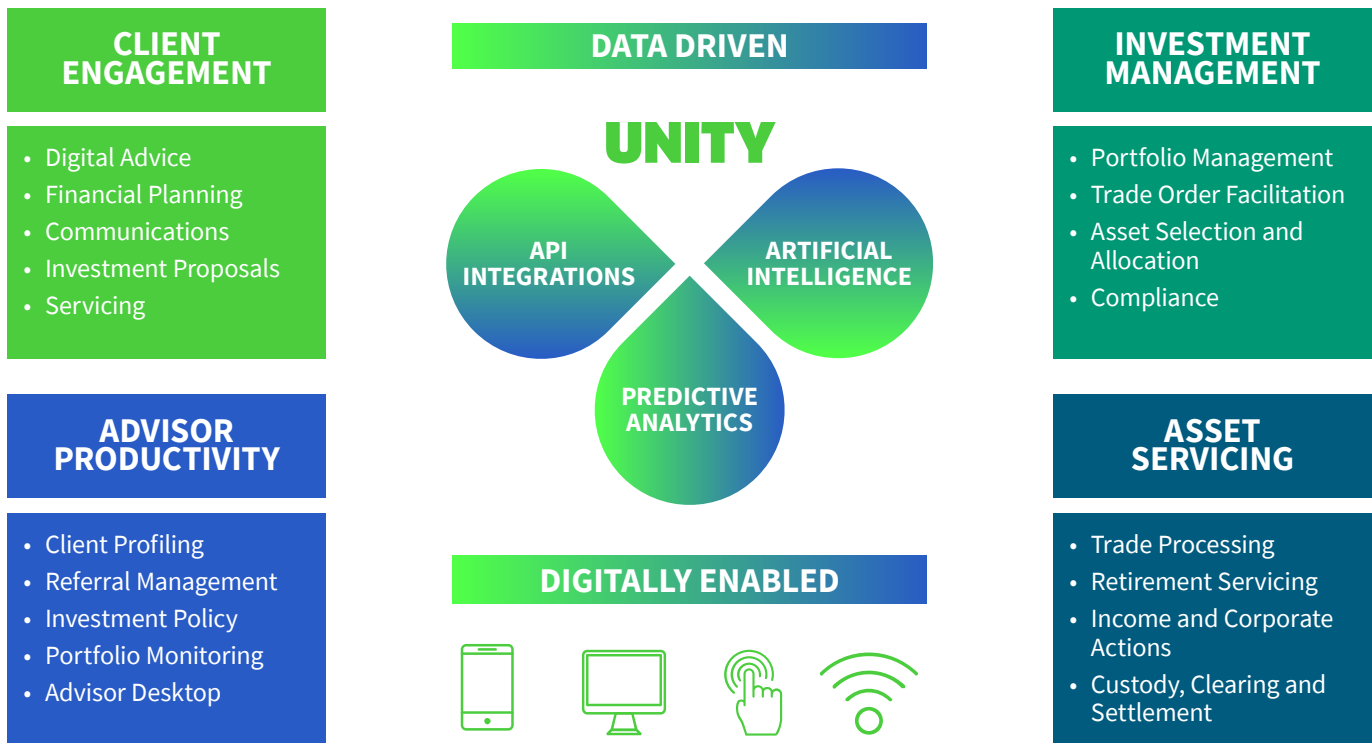
Unity is a dynamic wealth platform that enables you to service the rising needs of investors and advisors, allowing you to differentiate yourself through holistic wealth servicing, retain assets and grow your business into the future. With Unity, you will see richer client engagement, improved efficiencies, differentiated offerings and investor satisfaction, while accelerating time to market.

- **Personalize engagements.** View all household accounts, understand investment goals, and provide personalized and tailored service with front-office support for 360-degree views of the investor. A comprehensive set of wealth management tools provides seamless data integration across platforms, supporting a collaborative investment management experience across the wealth life cycle.
- **Differentiate offerings.** Empower investors and give them more control over their portfolio with client-facing and easy-to-use self-service platforms. Offer richer features balancing in-person and digital engagements to support tailored experiences across client segments to increase cross-selling opportunities, retain more assets and win new accounts.
- **Offer a digital experience.** Retain existing clients and attract the next generation of investors away from emerging competitors with a seamless, unified and digital experience powered by an open technical architecture. Develop onsite or on-the-go omni-channels such as online and mobile applications and integrate these channels in real time to always reflect the latest information.
- **Improve efficiency.** Streamline workflows, automate administrative tasks, and spend more time focusing on business development and client retention with a consolidated view of information across systems. Free up advisors for higher value activities by providing reports, data and account information in a single, easy-to-use platform.
- **Power next best action.** Leverage the power of technology and data with predictive analytics and business intelligence that provide next best action recommendations so that advisors can take a proactive approach to their clients' investment needs. Take advantage of the vast amounts of data available to you with the tools, software and analytical capabilities required to integrate data from multiple systems and applications.
- **Accelerate time to market.** Build a more economical, technical environment and accelerate time to market by lowering development costs, increasing flexibility and scaling as required, using integrated APIs that reduce the dependence on point-to-point interfaces. An open architecture platform improves your ability to be agile and responsive to a changing market.



A single, integrated end-to-end wealth platform

Unity's foundational components support critical areas across your enterprise with client engagement, advisor productivity, investment management and asset servicing capabilities. Powered by data, artificial intelligence, predictive analytics and API integrations, Unity delivers an end-to-end solution, presented in a single view, accessible across multiple channels.



- **Client engagement.** With omni-channel tools for managing the investor relationship, you can easily meet your clients' needs – through digital, face-to-face or a hybrid approach. User-friendly interfaces place you in control of data and provide you with tools to onboard and service clients and perform at optimum levels to identify their goals and objectives and achieve better outcomes.
- **Advisor productivity.** A web-based dashboard interface consolidates all client management, financial planning, trading, asset allocation, and reporting and workflow tools for staff members who interact with clients every day. With access to a full range of tools for investment communication and prospect management, you can easily create proposals, generate relevant documents, monitor portfolios, identify referrals and track business opportunities. With unified views and data interaction between all capabilities regardless of role or line of business – advisor, relationship manager, compliance, trust and custody – you stay informed about all aspects of your client's life.
- **Investment management.** User-defined access allows multiple personas within the organization – investment officers, account administrators and traders – to perform job-related tasks including electronic order management for trades and execution, all while ensuring trade compliance standards. You can control risk, monitor required workflows and set alerts to support meeting investment policies and balance accounts against models and benchmarks. Automation and connectivity link you to a full range of trading partners and provide value-added services throughout every step of the transaction life cycle – from order and pricing to allocations, affirmation/matching, notification, reconciliation and reporting.
- **Operations and asset servicing.** Servicing capabilities support a broad range of activities, from securities processing and asset accounting to trust accounting, multi-currency accounting, reconciliation of depository and sub-custodian positions, portfolio and cash management, fee and disbursement processing, and custody. You gain straight-through-processing to eliminate manual touch points, as well as audit trails to reduce operational risks and increase management oversight.

A platform built for change

Unity is designed with a set of flexible and scalable core product components that are built on a common platform.

- A multi-currency, highly scalable, modular platform, featuring an open architecture that leverages APIs, enables the creation of intelligent, customer-centric services.
- A functionally rich and extensible core platform for transactions can be easily extended for different products, business lines and geographies.
- The data-driven architecture supports real-time integration, providing actionable insights that can be monetized, while strengthening long-term relationships by delivering better outcomes for investors.
- The modern architecture enables open integration patterns that support partnerships with external applications and increase operational agility.
- The modular framework simplifies integration with FIS and non-FIS applications that extend capabilities at the enterprise level, expanding your business beyond the wealth life cycle to deliver a true end-to-end platform.

Today's platforms must be open, modular and able to deliver personalized customer experiences. That means open, modular technology.



FIS – Your partner of choice

FIS has a strong track record of delivering proven solutions to advance the way the world banks, pays and invests. A partnership with FIS can help you specify, build and implement a customized wealth platform that meets your immediate needs and strategic goals.

We can even host your platform in the FIS cloud, further reducing costs. And, uniquely, we give you the option to change your deployment model as required by your evolving business needs or technology strategy.

At a time when digital transformation is critical, FIS can help you differentiate from the competition.



About FIS

FIS is a global leader in financial services technology, with a focus on retail and institutional banking, payments, asset and wealth management, risk and compliance, consulting and outsourcing solutions. Through the depth and breadth of our solutions portfolio, global capabilities and domain expertise, FIS serves more than 20,000 clients in over 130 countries. Headquartered in Jacksonville, Florida, FIS employs more than 55,000 people worldwide and holds leadership positions in payment processing, financial software and banking solutions. Providing software, services and outsourcing of the technology that empowers the financial world, FIS is a Fortune 500 company and is a member of Standard & Poor's 500® Index. For more information about FIS, visit www.fisglobal.com.



www.fisglobal.com



twitter.com/fisglobal



getinfo@fisglobal.com



linkedin.com/company/fis