

A man and a woman in business attire are standing in a modern office with large windows in the background. The man, on the left, is wearing a dark suit, white shirt, and a patterned tie. He is holding a pen and pointing at a document in a black folder. The woman, on the right, is wearing a dark blazer over a white shirt, glasses, and has her hair in a bun. She is holding a tablet and looking at the document. The image has a green and blue geometric overlay at the bottom.

What can Global One do for you?

Proven, reliable solution providing out-of-the box, yet flexible, support for all your securities borrowing and lending needs.

FIS Global One

Proven, reliable solution providing out-of-the-box, yet flexible, support for all your securities borrowing and lending needs.

Global One is an FIS solution with a proven track record for managing the global securities borrowing and lending lifecycle for a large range of firms, from Tier 1 global players to smaller local organizations.

Global One services principal borrowing and lending, agency lending for custody, direct or third party lenders, and also provides support for fully paid lending.

Global One offers maximum flexibility and control over running costs through a choice of deployment options, including client installed or FIS managed. FIS can provide services to assist you with implementation/system management, or we can take over responsibility for the management of Global One on your technology infrastructure, either on site or remotely. Alternatively, we can provide a one-stop-shop to fully manage your Global One environment through our hosting and managed service offering. These options allow you to focus on your business, and leave the Global One systems support and management to our many years of expertise.

What can Global One do for you?

- Provide rapid return on investment through quick and easy implementation and configuration
- Enhance efficiency through streamlined and effective handling of the entire securities lending transaction lifecycle
- Provide a proven and reliable solution allowing you to focus on business growth
- Accelerate productivity due to familiarity in the market
- Provide a scalable solution for current and future business requirements

A modular, integrated solution?

Global One supports a broad and deep range of securities finance related functions across a range of different market participant types through its comprehensive suite of modular solutions:

- Principal borrowing and lending
- Agency and fully paid lending
- Repo processing (multiple types)
- Dividend processing and control
- Automated corporate actions processing
- Support for multiple specific securities finance market requirements, such as Hong Kong, South Africa and Brazil
- Automated intra/inter company trade processing
- Cash Management for agency lending cash collateral processing
- A suite of standard interfaces, such as SWIFT, CREST, STRATE, Loanet and Astec Analytics
- Standard integration tools supporting message and file based imports and extracts, API's and web service calls

Trade Support?

Global One provides comprehensive support for the booking of securities lending and repo transactions:

- Manual trade entry, for individual or basket trades, auto-creation of trades, with extensive data enrichment and validation
- Option for real-time, bi-directional integration with industry standard order routing solutions providing maximum straight through processing, with minimum development effort
- Option to auto-create inter/intra company trades to streamline processing
- Flexible cash, cash pool and non-cash collateral management processing with comprehensive eligibility and limits checking
- Multiple controls and warnings, including counterparty credit, settlement, issuer, corporate actions, complex agency risk lending restrictions, minimum/maximum lots, minimum price
- Multiple controls over securities, such as 'specials' handling, restriction of securities and markets to be traded
- Support for exclusive availability and locates enquiries
- Booking of holds and pay to holds with flexible conversion options
- Automated loan allocations based on an equitable allocation algorithm
- Visibility of forward dated ladders (securities and cash) based on pending and outstanding trades, as well as forward dated lender availability and credit limits
- Integration with Astec's Lending Pit for analytical and market data for price discovery and a view of market depth and trends
- Support for Evergreen and Extendable Structures

Flexible and complete trade lifecycle management?

Global One helps you drive down costs and enables you to optimize your operations by reducing manual effort through maximum automation.

- Flexible single and bulk trade amendment capabilities, with controls on instruction generation
- Pending and outstanding trade cancellations, and repaid trade re-open functions
- Option to auto reflect all trade lifecycle activities on inter/intra company linked trades, using a custom rules engine
- Comprehensive (collateral and fee) exposure management, with automated mark-to-market
- Automated and flexible single and bulk cash margin call processing
- Single or bulk trade re-rate processing with fixed or floating index rates
- Extensive recalls processing with an interface to Loanet's ARMS processing
- Configurable return and return allocations processing
- Option for automated dividend and mandatory corporate actions processing, including generation of claim and payment tracking
- Flexibility of accrued interest clean-up options for monthly billed or intra month billed trades
- Support for agency trade activities, providing the ability for automatic allocations to funds on returns against recalls and fund re-allocations
- Comprehensive bi-directional CREST interface supporting lifecycle activities for CREST eligible securities
- Comprehensive bi-directional Loanet interface supporting full lifecycle activities such as marks, returns, cancels, rate changes for clients with Loanet Full Service. Support for Contract Compare, Billing Compare, Auto Marks and Recalls for those utilising Loanet Select Services
- Flash P & L reporting
- Flexible Fee/Rebate statement processing, incorporating (minimum) fee calculations
- Extensive Fund Earnings statements for agency lending, including management fee splits
- Control and audit of fee accruals and collection / posting of payments for fees/rebates and fund earnings, with thresholds on write-off capabilities



Settlement and instruction processing

Global One provides efficient and flexible trade and collateral settlements processing, incorporating a high degree of straight-through processing helping you to control the operational costs of your securities finance business.

- Flexible support for multiple types of settlement and instruction processing based on delivery mode/depot and also originating point and multi-leg deliveries
- SWIFT formatted messages for all securities lending related security and cash trade messages, at both trade and fund levels
- Separate status management of security and cash legs of trades
- Pre-payment handling and controls
- Support for minimum and maximum tradable lot processing
- Fails management including recording of fail reason codes, including processing of fails via SWIFT messages
- Auto generation of standard confirmations at both trade and fund levels
- Instruction bulking and netting by common criteria
- Comprehensive bi-directional CREST interface providing maximum automation for trading and collateral management flows
- Comprehensive bi-directional Loanet interface for settlement and trade activity

Audit and control

Global One provides a clear and comprehensive audit trail for all trade life-cycle activities, from initial trade creation to final return. In addition, comprehensive static data amend capabilities ensure that it is always possible to track changes to the underlying data.

- Detailed audit for all activity during the full life of a trade, including user-id and date/time stamp
- Flexible and extensive client reference data management functions, with record of any static data changes, along with user-id and date/time stamp
- Hierarchy based user access rights
- Optional 4-eyes authorisation of various trade lifecycle activities and static data changes

- Automated inter/intra company trade activity processing minimizes reconciliation needs
- Compliance control, monitoring and specific regulatory reporting for Agency Lending Disclosure of Principal (ALDOP) and Stamp Duty for Hong Kong Borrow trades

Reporting

Global One comes with an extensive suite of standard operational reports. Additionally, Global One data can be accessed on a real time basis in order to provide tailored management and client reporting.

- An extensive library of standard reports with highly flexible selection criteria
- Integration with standard scheduling tools
- Availability of data via standard export tools or via API's
- Data access (via documented data universe) for standard BI tools (e.g. Business Objects)
- Option to export pre-calculated report contents in XML/Excel.

For over 20 years FIS' Global One has been at the heart of the International Securities Finance industry. In that time, our customers have trusted us to help them take advantage of every major market trend, opportunity and transformation. FIS' expertise, size and scale of organization enables us to support mission critical securities finance solutions for top global firms and local or specialist institutions around the world. Talk to us to find out more about how you can benefit from joining the Global One client community.

About FIS

FIS is a global leader in financial services technology, with a focus on retail and institutional banking, payments, asset and wealth management, risk and compliance, consulting and outsourcing solutions. Through the depth and breadth of our solutions portfolio, global capabilities and domain expertise, FIS serves more than 20,000 clients in over 130 countries. Headquartered in Jacksonville, Florida, FIS employs more than 53,000 people worldwide and holds leadership positions in payment processing, financial software and banking solutions. Providing software, services and outsourcing of the technology that empowers the financial world, FIS is a Fortune 500 company and is a member of Standard & Poor's 500® Index. For more information about FIS, visit www.fisglobal.com.

