



ADVANCING THE WAY THE WORLD
PAYS, BANKS AND INVESTS™

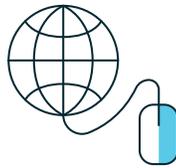
ACHIEVE MORE IN TAX REPORTING

The FIS Wall Street Concepts solution provides tax information reporting, consulting, training and other services to enable your financial institution to meet its tax reporting obligations to the IRS and your customers. Compliance Plus is the premier Wall Street Concepts product. Transform your year-end tax reporting burden into a user-friendly, year-round process with this comprehensive, enterprisewide tax reporting service.

With FIS Compliance Plus, you receive:



Production of all payee tax statements, supporting details and schedules with real-time previews throughout the year



Online tools for fully transparent, up-to-date views and year-round data processing and validation grooming



Coordination with print, document hosting and fiduciary reporting vendors



Ability to hold back individual statements awaiting completion to merge statement production is waived, minimizing corrections

ACHIEVING COMPLIANCE WHILE EMPOWERING SUCCESS

Decision-makers are often faced with a choice between continuing to do something the way it has always been done and trying a new path. There is risk in taking the new path, especially when the way it's always been done has been good enough for the specific purpose. We, at FIS Wall Street Concepts, would like you to take a step back and consider an alternative view when it comes to tax reporting.

Just what does getting the job done in tax reporting mean? And what is good enough? Is it simply putting a number on forms and mailing them to customers? Or is there more to it? Our tax reporting product, Compliance Plus, will empower broker-dealers, trust companies and banks to achieve so much more for their account holders.

Compliance Plus

Improving your customers' experience

- Accurate and timely statements delivered to your customers
- Investor Tax Summary and Supplemental Schedules facilitate tax return preparation
- Customized statements featuring user-designed Annotations, Endnotes and Instructions provide clarity
- Preliminary Statements with Hold Letters are timely customer accommodations
- Support of OFX files for tax preparation software

Reducing risk through a unique toolkit for tax operations

- Real-time Account Summaries provide a statement view at any time of year
- Ability to "Holdback" accounts pending reallocations and householding
- Bulk adjustment tool facilitates grooming transaction data
- Filtering tools to narrow searches and facilitate research
- Dashboards provide real-time views into current processing

Benefiting from operational efficiencies

- Exception-based reporting and year-round daily processing enhance productivity
- Annual tax form updates by FIS eliminates IT and project management costs
- Additional cost allocations to new regulatory and industry initiatives are eliminated
- Compliance Plus Year-end Best Practices Checklist supports the year-end tax calendar
- The PDF-to-PDF statement comparison is a robust process that facilitates thresholding

A backstop to your security, payment and transaction characterizations

- Over 300 discrete validations support topic-specific Errors and Warnings reports
- The TEFRA Glossary checks a Security Master's "security type" designations and related features
- The tax character of all payments are verified, validated and reallocated as appropriate
- Published materials and Service Announcements clarify tax characterizations and regulatory changes
- Portfolio reporting accuracy can be enhanced with the TEFRA Glossary and FICB Service

A plus for the compliance department and regulatory compliance

- Operational Support Services ease the tax season burden and potential for errors
- Training programs cover practical systems' use and IRS Tax Reporting concepts
- Management dashboards present alerts, errors and warnings, highlighting areas of concern
- Special Briefings, FAQs and other publications focus on regulatory issues and tax
- Annual filings with IRS FIRE system, state tax authority and Puerto Rico SURI system
- Annual user conference and webinars keep users informed of regulatory and service changes

These highlighted functions, tools and services of FIS Wall Street Concepts are just some of the benefits FIS Compliance Plus and its ancillary services can provide to financial firms and their customers, and that is how we empower success!