



CHARLOTTE ASP

Wealth Management | Charlotte ASP

As a real-time trust accounting and investment management solution, FIS® Charlotte helps community-sized independent banks and trust companies attract, service and grow client relationships. FIS offers an application service provider (ASP) version as well as an in-house version of its Charlotte trust accounting system for portfolio management, securities processing, performance reporting and regulatory compliance.

ASP offers a different option for those Charlotte customers who prefer not to purchase, maintain and upgrade server hardware or manage complex systems. Use of ASP shifts system management responsibilities to FIS, allowing you to focus on your core business and clients instead.

Working and benefiting your workplace

With ASP, FIS hosts your Charlotte system in a secure and fully redundant data center, which you access through a secure connection provided by FIS. In this environment, FIS-owned, maintained and monitored routers and firewalling provide a secure Internet-based VPN connection to the FIS V*Net network.

Your staff can access your Charlotte system remotely from their homes, clients' locations or anywhere they can access your network. They simply connect to the FIS server via the public Internet using a secure VPN connection.

Not only does ASP expand your workplace world and help save resources, it provides you with automatic upgrades of the Charlotte system, the database and other related system software, as well as data and system backup and recovery.

Delivering service with security

With FIS Charlotte, you have the technology and system connections to help achieve your goals. Charlotte's open design, rich functionality and flexibility put you in control of your data and give you and your staff the tools to perform at higher levels with:

- Straight-through, end-to-end processing and integrated workflow processes
- Automatic cash management, fee calculations and custom reporting packages
- Real-time positions and cash balances
- Processing of trades, settlements and corporate actions
- Streamlined investment processes, securities processing routines and operations workflows
- Integrated security custodial activity

Delivering and managing Charlotte from a central FIS location helps give you the freedom and security to think about going beyond just connecting to creating relationships with clients, expanding your capabilities and growing your business.

Expanded functionality

The ASP version of Charlotte includes Charlotte Front Office: a real-time, browser-based solution for investment and administrative staff that manages client information, generates customizable reports and provides an automated forms flow tool.

You can expand or enhance your system with the following:

- Charlotte Customer Access – a secure, web-based reporting portal for your clients to access their account information
- Charlotte Data Integration – a suite of products that enables transactions to be conducted electronically from initiation to trade settlement

Integration

In addition, Charlotte integrates well with third-party software and other ASP or software as a service (SaaS) solutions from FIS, including Investor's View advisor platform, FIS Global Network (SGN) trading connectivity services and First Rate performance measurement reporting. Delivering and managing Charlotte from a central FIS location helps give you the freedom and security to think about going beyond just connecting to creating relationships with clients, expanding your capabilities and growing your business.

Keeping pace and connecting with a changing world ASP helps your business stay linked to clients any time, from anywhere, with Charlotte's advanced reporting and communication tools. Furthermore, with redundancy and disaster recovery supporting your Charlotte system, you can be confident that your data is protected.

The face of wealth management is changing and operating successfully may necessitate new approaches to managing and growing your business. Incorporating portfolio management, securities processing, performance reporting and regulatory compliance in an externally managed, secure environment, the ASP version of FIS' Charlotte system can help your firm compete effectively.

For more information

For more information about Charlotte ASP, please contact your FIS account representative or email us at getinfo@fisglobal.com.