



INVESTOR SERVICES FOR THE FORWARD THINKING INVESTMENT MANAGER AND FUND ADMINISTRATOR

FIS Investor Services Suite (Formerly NTAS)

OVERVIEW

The demands facing fund administrators and investment managers across the alternatives and traditional funds industries continue to grow – from client expectations for more responsive services and heightened regulatory obligations to cost pressures, and against the backdrop of an increasingly complex global investment landscape.

FIS® Investor Services Suite automates your end-to-end investor servicing processes. Designed to support offshore and onshore hedge funds, partnerships, private equity vehicles, retail funds and regulated financial firms, our integrated transfer agency, regulatory compliance, client experience and workflow management solutions deliver the operating efficiencies and exceptional client service the investment industry needs today.

KEY FEATURES AND BENEFITS

Fast client onboarding: Digitalize end-client onboarding with a customizable, risk-based AML/KYC checks featuring advanced investor beneficial owners screening. Data and documents can be shared across regulations and jurisdictions to minimize duplication.

Ongoing regulatory compliance: Automated account reviews ensure all AML/KYC and FATCA/CRS-related client documents and data remain current. Real-time transaction and behavior monitoring flags suspicious activity to pre-empt potential issues. Automated collection and smart validation of tax and self-certification forms ensure they are populated in line with jurisdictions' latest tax laws, with regulatory reports generated automatically in filing-ready state.

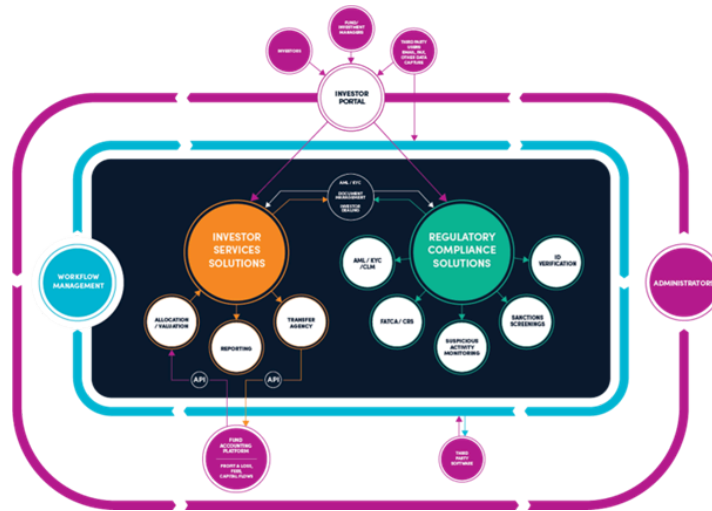
Streamline processes with intelligent workflows: An integrated workflow management tool allows users to build robust controls and tailored rules that trigger automated actions for any kind of repeatable task. Configuring the procedures each business process must follow and routing tasks to relevant teams optimizes operating efficiencies, and – ensures higher quality client support and regulatory compliance outcomes. Real-time oversight from a centralized dashboard enables users to view daily activities, identify problems, and track and meet their SLAs.

Manage complex investor servicing tasks at scale: Take control of day-to-day transfer agency recordkeeping and shareholder registry responsibilities with exception-based processes for all fund structures, partnerships and side pocket arrangements. STP payments functionality automates multicurrency cash collection, reconciliations and settlement. A sophisticated fee engine provides systematic control over complex performance, management, transaction and trailer fee calculations for open- and closed-end structures. P&L allocations and NAV processing down to investor and lot level allow for faster, more accurate investor reporting and greater client satisfaction. Customizable reports give stakeholders ready access to the information they want, further enhancing stakeholder engagement.

Deliver rich digitalized client interactions: Digitalizing the investor and fund lifecycle, from onboarding and order entry to document management and reporting, has become an essential part of the servicing mix. Our multi-functional portal offers secure online form completion, access to detailed account information across a range of views, on-demand client self-servicing and responsive investor/investment manager interactions. Real-time insights into fundraising statuses can also help investment managers boost their capital raising efforts.

SEAMLESS SYSTEM INTEGRATION

A sophisticated API platform enables easy integration with third-party systems for seamless real-time data exchange. Automated connectivity ensures data integrity across the ecosystem.



**Contact us to learn more about
how our innovative platform
can transform your business.
www.fisglobal.com**



FIS is uniquely positioned to address the challenges faced by buy-side financial institutions in the fund administration and asset management alternatives environment. By replacing disparate legacy systems with a single, integrated system, we streamline workflows, improve access to critical information and enhance overall operational efficiency.

About FIS

FIS is a leading provider of technology solutions for financial institutions and businesses of all sizes and across any industry globally. We enable the movement of commerce by unlocking the financial technology that powers the world's economy. Our employees are dedicated to advancing the way the world pays, banks and invests through our trusted innovation, absolute performance and flexible architecture. We help our clients use technology in innovative ways to solve business-critical challenges and deliver superior experiences for their customers. Headquartered in Jacksonville, Florida, FIS ranks #241 on the 2021 Fortune 500 and is a member of Standard & Poor's 500® Index.



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