Agile growth and smarter operations through a consolidated platform that supports principal, agency or hybrid securities finance models
FIS Securities Finance Trading Manager (formerly Apex Securities Finance) is designed to help customers manage their entire range of repo and securities borrowing and lending requirements, for fixed income and equity products.

- Enhance efficiency through the active management of your global positions on a real-time basis, focusing on the long and short positions that require attention.
- Increase growth as your traders and operations staff can identify and focus on the most profitable activities.
- Accelerate productivity with a flexible and intuitive user interface.
- Improve automation and straight-through processing (STP) with exception processing tools and with a flexible, scalable and open architecture that simplifies seamless integration.
- Reduce cost of ownership via a consolidated and efficient solution for repo, securities finance and agency lending.

**Powerful global real-time positions management and trade capture**

FIS® Securities Finance Trading Manager (formerly Apex Securities Finance) provides a fast, reliable and scalable architecture that brings together trading activity across your firm and aggregates this information in real-time. It helps you consolidate your global inventory across multiple products, regions, countries, trading desks and legal entities.

- Multilevel position management aggregations with forward-dated ladder and drill-down to specific positions and trades, availability and positions management including flexible limits and restrictions to support agency lending guidelines

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Securities Finance Trading Manager provides position management in a powerful and dynamic environment with global real-time aggregation of securities and cash positions, across both principal and agent lending models.
Funding sheet display of cash requirements by ratings and security type

Summary or detailed cash position views with forward projected ladder

Transparency to see underlying trade details.

Options to view assumed or settled trades in base currency or FX currency

Flexible P&L management; incorporating “flash” P&L giving a view of the profit of an individual, or related group of trades

Alerts for stale prices, price change variance percentages and other anomalies

Ability to establish bespoke trade types with rules definition

Visibility of FIS® Securities Finance Market Data for price discovery and view of market depth and trends

Flexible and complete trade lifecycle management

Corporate actions, dividends and coupon tracking on positions and trades

Generation of bond coupon payments and dividend trades with transactions tracked as paid versus outstanding

Configurable trade activity monitor and ticker with click-through to underlying transactions

Returns processing with auto-proposal of potential returns and repo cleanup

Inter-lifes and rate changes with automatic end leg adjustment for repo

Single and bulk amends and cancels

Support for agency lending trade and trade activities, providing the ability for automatic or manual client allocations for returns and re-allocations

Billing processing and allocation of sales credits and broker fees to each trade

Fee and rebate processing with view of multiple aggregations for any time period

Client earnings reporting for agency lending trades.

Settlement and instruction processing

Securities Finance Trading Manager provides efficient trade settlement, incorporating a high degree of STP to help you control the operational costs of your securities finance and repo business.

Settlement instructions processing with multiple defaults to reduce input effort and error

SWIFT formatted instructions for all security and cash trade messages

Auto-trade confirmation and settlement status update capabilities

Instruction bulking and netting by common criteria

Trade monitor to track trade and settlement status

Bi-directional FIS® Securities Lending Processing Platform (formerly Loanet Accounting and Settlement) interface for settlement and trade activity (North American lending market automation)

CDS interface via Paramax Arrow (Canadian market support)

FIS® Securities Processing Manager (formerly Phase 3) repo interface (North American market support)

Securities Finance Trading Manager provides a highly configurable and customizable workflow to manage trades, movements and instructions throughout the full trade life cycle, including monitoring and compliance controls.
Audit and control
Securities Finance Trading Manager allows your firm to record and track all static or trade life cycle changes. Through various layers of authorization capabilities and tools, Securities Finance Trading Manager provides complete flexibility to allow or restrict user access and easily monitor changes in the system.

- Maximum automation and exception processing allows focus on value-added activities
- Trade authorization controls with threshold and credit limit warnings and overrides
- Audit trail of all actions and changes to static data with user ID and time stamp
- Flexible and extensive client reference data management
- User administration controls
- Broad range of validations
- Legal agreement management
- Inter-life link to provide complete picture of trade lifecycle involving multiple trades
- Compliance control, monitoring and regulatory reporting for Agency Lending Disclosure of Principal (ALDOP)

Reporting
Securities Finance Trading Manager supports fast and efficient access to the core data held within your firm’s securities finance solution, providing timely and accurate operational, management and client reporting.

- Suite of embedded Jasper reports designed using open source technology
- User-definable ad-hoc reports
- Flexible scheduling, delivery methods and formats
- Export to Excel of customizable browser views

For over 30 years, FIS has been at the heart of the Securities Finance industry. In that time, more than 140 customers have trusted us to help them take advantage of every major market trend, opportunity and transformation. FIS’ expertise, size and scale of organization enable us to support mission-critical repo and securities borrowing/lending technology for top global firms and local or specialist institutions around the world.

About FIS
FIS is a leading provider of technology solutions for merchants, banks and capital markets firms globally. Our employees are dedicated to advancing the way the world pays, banks and invests by applying our scale, deep expertise and data-driven insights. We help our clients use technology in innovative ways to solve business-critical challenges and deliver superior experiences for their customers. Headquartered in Jacksonville, Florida, FIS is a Fortune 500® company and is a member of Standard & Poor’s 500® Index.