UNITY: A SINGLE, INTEGRATED END-TO-END WEALTH PLATFORM

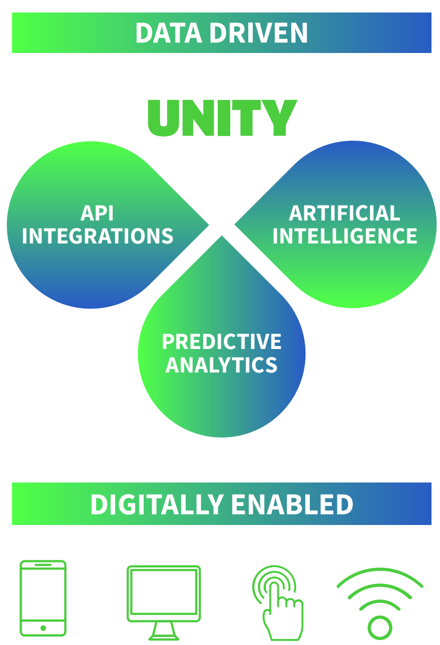
Unity's foundational components support critical areas across your enterprise with client engagement, advisor productivity, investment management and asset servicing capabilities. Powered by data, artificial intelligence, predictive analytics and API integrations, Unity delivers an end-to-end solution, presented in a single view, accessible across multiple channels.

CLIENT ENGAGEMENT

- Digital Advice
- Financial Planning
- Communications
- Investment Proposals
- Servicing

ADVISOR PRODUCTIVITY

- Client Profiling
- Referral Management
- Investment Policy
- Portfolio Monitoring
- Advisor Desktop



INVESTMENT MANAGEMENT

- Portfolio Management
- Trade Order Facilitation
- Asset Selection and Allocation
- Compliance

ASSET SERVICING

- Trade Processing
- Retirement Servicing
- Income and Corporate Actions
- Custody, Clearing and Settlement

Client engagement. With omni-channel tools for managing the investor relationship, you can easily meet your clients' needs – through digital, face-to-face or a hybrid approach. User-friendly interfaces place you in control of data and provide you with tools to onboard and service clients and perform at optimum levels to identify their goals and objectives and achieve better outcomes.

Advisor productivity. A web-based dashboard interface consolidates all client management, financial planning, trading, asset allocation, and reporting and workflow tools for staff members who interact with clients every day. With access to a full range of tools for investment communication and prospect management, you can easily create proposals, generate relevant documents, monitor portfolios, identify referrals and track business opportunities. With unified views and data interaction between all capabilities regardless of role or line of business – advisor, relationship manager, compliance, trust and custody – you stay informed about all aspects of your client's life.

Investment management. User-defined access allows multiple personas within the organization – investment officers, account administrators and traders – to perform job-related tasks including electronic order management for trades and execution, all while ensuring trade compliance standards. You can control risk, monitor required workflows and set alerts to support meeting investment policies and balance accounts against models and benchmarks. Automation and connectivity link you to a full range of trading partners and provide value-added services throughout every step of the transaction life cycle – from order and pricing to allocations, affirmation/matching, notification, reconciliation and reporting.

Operations and asset servicing. Servicing capabilities support a broad range of activities, from securities processing and asset accounting to trust accounting, multi-currency accounting, reconciliation of depository and sub-custodian positions, portfolio and cash management, fee and disbursement processing, and custody. You gain straight-through-processing to eliminate manual touch points, as well as audit trails to reduce operational risks and increase management oversight.