



Asset Servicing Management Suite

Unlocking streamlined data management for greater insights



Capital markets firms on both the buy- and sell-side are facing increasingly volatile market conditions

This pressure is pushing asset servicing participants to make their capital investment work harder and seek more efficient, accurate, and scalable asset servicing solutions that unlock real-time insights and streamlined operations.

Disconnected data in asset servicing creates significant risk. When key functions like corporate actions processing, proxy voting, class actions, operational claims, tax reclaim management, and loan amendments are siloed, errors can quickly damage your reputation and client relationships. Compliance failures and legal threats require immediate action to resolve issues, and any delays can be costly.

Relying on multiple vendors often adds complexity, leading to fragmented data, higher costs, inefficiencies, and a greater chance for errors. Fragmented infrastructure escalates cost control challenges and amplifies the potential for operational risk.

Firms need to deliver streamlined operations and robust risk management to keep pace with the demands of today's financial markets and make their capital work harder. FIS® Asset Servicing Management Suite can help solve the challenges of asset servicing and data discrepancy across functions by unlocking streamlined data management for improved transparency and insights across an enterprise.

Key benefits

Asset Servicing Management Suite is designed to facilitate:

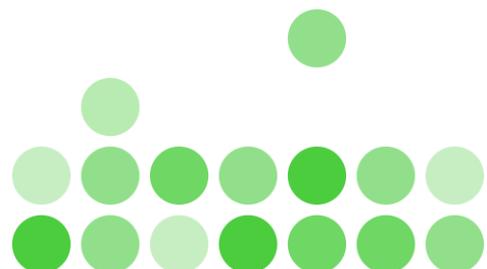
Streamlined operations: Unify your servicing tasks for faster, more accurate processing and fewer operational headaches.

Automation that works for you: Boost speed, improve data accuracy, cut risks, and control costs with intelligent automation, freeing your team for high-value work, not repetitive tasks.

Simplified compliance: Enhanced data integrity and smoother workflows put you in control of compliance and audit requirements.

Scalability and transparency: Reduce costs, scale with confidence, and maintain transparency across your operations.

Flexible solutions: Choose the solution that best meets your business needs.



Asset Servicing Management Suite is designed to unlock operational efficiencies across all areas of asset servicing.

It can help streamline data management, including corporate actions processing, proxy voting, class actions claims, operational claims, tax reclaim management, and loan amendments, allowing your capital to work harder.

Our extensive suite of automated and integrated solutions is backed by expert support teams to help firms navigate market complexity. A unified platform is intended to reduce the need for multiple vendors while minimizing data fragmentation, manual workarounds, cost, and associated risks.

Strong operational workflows integrate data to help unlock transparency for a more complete overview of asset servicing activities and insights to inform decision-making, mitigate risks, and aid compliance efforts. The highly customizable suite provides the flexibility to match specific capabilities with unique needs and enable future growth.

Partnering with FIS gives you the ability to have just one point of contact for an enriched client experience, so you can deliver better experiences for your clients.

The Asset Servicing Management Suite helps you succeed by bringing together efficiency, innovation, and expert support. Asset Servicing Management Suite functionality consists of:

- ✔ Corporate actions
- ✔ Corporate actions data
- ✔ Class actions
- ✔ Operations claims
- ✔ Tax reclaims
- ✔ Proxy voting

Corporate actions: FIS® Corporate Actions Suite is a SWIFT-accredited, highly configurable solution offering end-to-end management of corporate action processing activity. Corporate Actions Suite helps your money work harder by utilizing emerging technologies such as robotic process automation. This helps users target, identify, and manage corporate action data, positions, and election risk throughout the event lifecycle. Offered as a SaaS solution, Corporate Actions Suite complements other FIS systems and provides connectivity with other industry applications.

Corporate actions data: FIS® Corporate Actions Data Services (CADS) manages the corporate actions event cleansing process. It delivers timely, enhanced corporate actions data for use by financial institutions and their clients. A high-quality, multi-sourced, cleansed data service, CADS helps your money work harder by providing reliable, ready to publish corporate action information quickly and seamlessly.

The data service is underpinned by AI and bot technology and a global data management team. Our team of seasoned corporate actions experts leverage industry best practices and technology for a best-in-class experience. A business process as a service (BPaaS) option is also available.

Class actions: FIS® Class Actions unlocks a more seamless, end-to-end solution for securities class actions recoveries. Powered by proprietary technologies and an experienced team, FIS endeavors to deliver a secure, all-access client portal to facilitate global monitoring, customer service and payment allocations to help maximize the performance of capital investments. In the process, FIS helps mitigate compliance risks, prevent lost revenues and maximize recoveries.

With high levels of automation and minimal client involvement, we can help recover the class action settlement dollars owed to you and your clients.

Operations claims: FIS® Operational Claim Manager provides an interoperable, digitized, and standardized industry ecosystem designed to modernize previously manual and paper-intensive claims operations. The solution puts your money to work through common case management with automated workflows and reporting, allowing all parties to track claims activity in real time. The solution also offers an industry directory to facilitate accurate delivery to counterparties, along with integrated payment and settlement functionality. The shared ecosystem is intended to enhance transparency between the counterparties to help reduce manual processes and operating risk.

Tax reclaims: FIS® Tax Reclaim Manager provides a centralized ecosystem designed to enhance accuracy, efficiency, accountability, and risk management throughout the lifecycle for tax reclaims, relief at source (RAS), and vouchers. By integrating automation with a digital workflow, the solution is intended to streamline operations, reduce costs, and improve recovery rates.

Proxy voting: FIS® Proxy Voting connects issuers, intermediaries, and investors through a digital communication platform. This is designed to help deliver investor communications in real time, using “golden source” data, helping to unlock speed, efficiency, and transparency in the proxy voting chain. The solution is also intended to support active governance with issuers and contribute to the fulfillment of ESG mandates and SRD II regulatory requirements.



Corporate Actions Suite

Integrates the Corporate Actions Manager, a highly capable lifecycle processing engine, with additional tools to help mitigate risks associated with Corporate Action activities.

Corporate Actions Data Service

Corporate Actions Data Service (CADS) and Corporate Actions Processing Service (CAPS) offer thorough business processing of corporate action events, helping to seamlessly extend operational capabilities by leveraging an outsourced solution.

Class Actions

Provides investors the ability to recover losses associated with mismanaged monies.

Proxy Voting

Helps connect issuers, investors, and intermediaries via a leading digital platform. Is designed to facilitate real-time, secure, and transparent communication using "golden source" data and proprietary algorithms.

Operational and Tax Reclaim Management

Designed to revolutionize income claim processing by helping to seamlessly connect counterparties and digitize the entire withholding tax lifecycle.



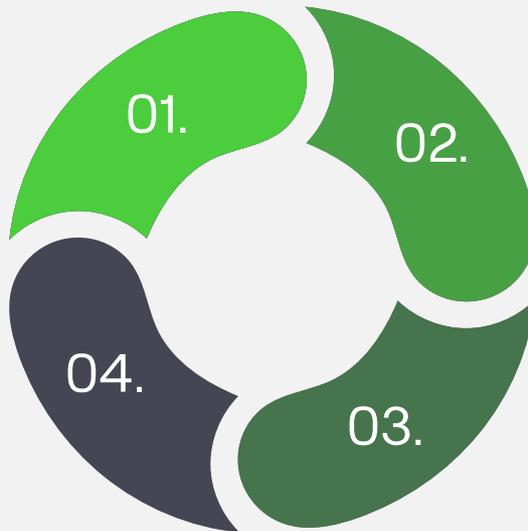
Seamless integration for smarter operations

Unify asset servicing with FIS to help enhance growth, efficiency, accuracy, and compliance.



One provider for one total solution

Reduce vendor complexity with a full-service asset servicing provider.



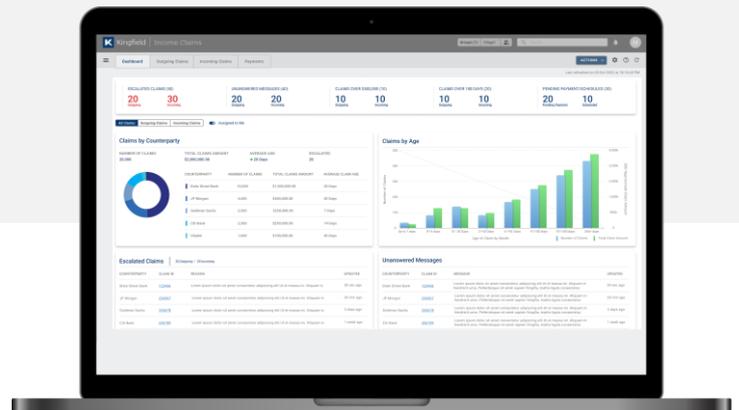
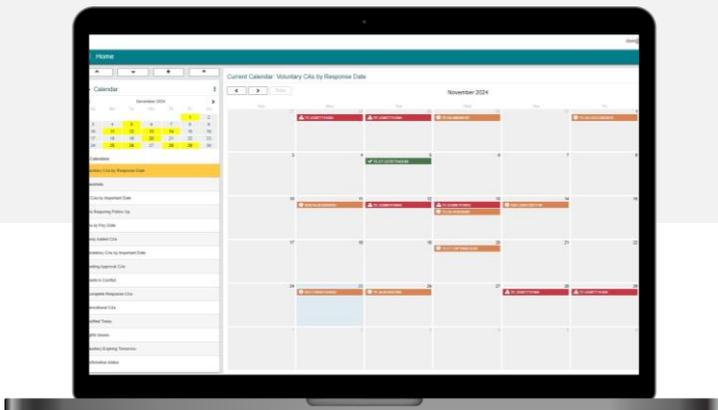
Scalable solutions for cost efficiencies

Flexible software and services can help you to optimize operations, reduce costs, and adapt more easily.



Innovate and automate for adaptable asset servicing

Leading technology and automation designed to help you stay ahead of the competition.



FIS asset servicing solutions unlock growth, efficiency, accuracy, and compliance.

Our **technology** powers the global economy across the money lifecycle.

Money at rest

Unlock seamless integration and human-centric digital experiences while ensuring efficiency, stability, and compliance as your business grows.

Money in motion

Unlock liquidity and flow of funds by synchronizing transactions, payment systems, and financial networks without compromising speed or security.

Money at work

Unlock a cohesive financial ecosystem and insights for strategic decisions to expand operations while optimizing performance.

About FIS

FIS is a financial technology company providing solutions to financial institutions, businesses and developers. We unlock financial technology that underpins the world's financial system. Our people are dedicated to advancing the way the world pays, banks and invests, by helping our clients confidently run, grow and protect their businesses. Our expertise comes from decades of experience helping financial institutions and businesses adapt to meet the needs of their customers by harnessing the power that comes when reliability meets innovation in financial technology. Headquartered in Jacksonville, Florida, FIS is a member of the Fortune 500® and the Standard & Poor's 500® Index. To learn more, visit FISglobal.com. Follow FIS on LinkedIn, Facebook and X (@FISglobal).

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