

## CRR SYSC Board Disclosure

### The Board

The Board is the principal decision-maker for the Company and is accountable for the implementation of governance arrangements that ensure effective and prudent management of the firm. The Board:

- has overall responsibility for management of the firm
- approves and oversees implementation of the firm's strategic objectives, risk strategy and internal governance arrangements
- ensures the integrity of the firm's accounting and financial reporting systems, including financial and operational controls and compliance with the regulatory system
- oversees the process of disclosure and communications
- has responsibility for providing effective oversight of senior management
- monitors and periodically assesses the adequacy and implementation of the firm's strategic objectives, effectiveness of the firm's governance arrangements, adequacy of the policies relating to the provision of services and takes appropriate steps to address any deficiencies.
- The roles of Chairman and Chief Executive Officer are held by different individuals.

The Board is structured such that the directors bring to the Company a balance of skills, knowledge and experience to understand the activities of the firm. The directors reflect an adequately broad range of experiences and are required to commit sufficient time to perform their functions. Induction and ongoing training is provided to all directors. Board members do not hold more directorships than is appropriate taking into consideration FCA requirements in this area.

### The Nominations Committee

- identifying, and recommending for approval, candidates to fill Board or Board Committee vacancies, having evaluated the balance of knowledge, skills, diversity and experience of the Board
- periodically and at least annually assessing the structure, size, composition, performance and effectiveness of the Board and Committees
- assessing the knowledge, skills and experience of individual members of the Board, and reporting this to the Board
- preparing descriptions of roles and capabilities for a particular appointment and assessing the time commitment required
- in performing its duties, and to the extent possible, on an ongoing basis, takes account of the need to ensure that the management body's decision making is not dominated by any one individual or small group of individuals in a manner that is detrimental to the interest of the firm as a whole

Platform Securities seeks to ensure that no discrimination occurs in the recruitment or employment of staff, including members of the Board.

### Disclosure

Platform Securities LLP is regulated by the Financial Conduct Authority (FCA) and is a significant SYSC Firm. FCA Rule SYSC 4.3A.11 R in the Senior Management Arrangements, Systems and Controls sourcebook (SYSC) requires that a firm must explain on its website how they comply with the requirements of SYSC 4.3A.1 R to SYSC 4.3A.3 R and SYSC 4.3A.4 R to SYSC 4.3A.11 R. The disclosure above is intended to meet the requirements of these rules.

For more information, please contact us using <https://www.fisglobal.com/contact-us>.

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