

# An Integrated Front-to-back Securities Processing Solution for Broker-dealers

The regulatory and margin pressures broker-dealers face today will forever change the economics, strategy and operation of their businesses. As firms make decisions to drive their future, they must be able to meet new customer demands, expand their offerings, and reduce the cost, complexity and risk of their business operations.

That's why FIS™ has brought together our leading solutions for securities processing into a single suite. With the FIS Securities Processing Suite, you get an optimized processing platform of global, multi-asset capabilities throughout your business lines and global connectivity across exchanges, clearinghouses and counterparties.



## 1. Control Your Total Cost of Ownership (TCO)

With a predictable economy-of-scale pricing model, you can reduce your TCO, today and in the future – with no surprises.



## 2. Support Multiple Lines of Business

You can support multiple business lines, asset classes and currencies on a single platform.



## 3. Reduce Regulatory Risk

FIS provides mandated upgrades and industry requirements at no extra cost to you. With a single, real-time back-office solution for all instruments and business lines, you can reduce risk and deliver on growth.

## The FIS Securities Processing Suite

#### An Integrated Front-to-back Securities Processing Solution for Broker-dealers

### Support the Trade Life Cycle from New Account Onboarding through Regulatory Reporting and Tax Processing

## Reduce Operational and Regulatory Risk and Your TCO

- · Expand product offerings and asset classes.
- Respond quickly to new business opportunities and client needs.
- Guard against TCO inflation with fully integrated adjacent and complementary services.

#### Mitigate the Burden of Managing Infrastructure

- Eliminate redundancies and alleviate strain on IT resources.
- Choose from flexible deployment options, including managed services.
- · Customize the solution for your individual needs.

#### **Rely on a Trusted Partner**

- Leave regulation and industry integration changes to FIS.
- Integrate your systems with our help.
- Assess outsourcing as part of your operations.
- Access standards and best practices that drive high-quality service.



#### **About FIS**

FIS is a global leader in financial services technology, with a focus on retail and institutional banking, payments, asset and wealth management, risk and compliance, consulting and outsourcing solutions. Through the depth and breadth of our solutions portfolio, global capabilities and domain expertise, FIS serves more than 20,000 clients in over 130 countries. Headquartered in Jacksonville, Florida, FIS employs more than 53,000 people worldwide and holds leadership positions in payment processing, financial software and banking solutions. Providing software, services and outsourcing of the technology that empowers the financial world, FIS is a Fortune 500 company and is a member of Standard & Poor's 500® Index.

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