



AML Compliance Manager

Approach your AML compliance with innovation, efficiency and flexibility

A modern approach to AML compliance

In the United States and around the globe, regulatory agencies are actively encouraging financial institutions to responsibly implement innovative approaches – including those using artificial intelligence (AI) and machine learning – to more efficiently combat money laundering and increase efficient use of compliance resources.

FIS™ AML Compliance Manager empowers your organization with an advanced toolset that drives faster, automated decision-making while eliminating manually intensive tasks that contribute to escalating operational costs. This modern, cloud-based platform provides easily tailored decision workflows, dynamic customer risk monitoring and advanced suspicious activity detection. Its intuitive case manager provides a unified view of customers, alerts and cases across the customer life cycle.

Transaction monitoring and screening

The rapid evolution of banking interactions and consumer payment preferences will continue to strain the limits of rigid AML compliance systems. FIS AML Compliance Manager is designed to meet the challenges inherent in legacy financial crimes solutions while adapting quickly to emerging and future regulatory needs. Primary functions include:

- **Advanced profiling** – Improve detection accuracy with adaptive behavioral profiles that extend beyond the customer level. Profiles are updated with each transaction and learn over time to achieve more accurate analysis.
- **Detection scenarios** – Create and apply derived variables that provide the historical context necessary to intelligently interpret various dimensions of behavior and take a risk-based approach to identifying suspicious activity.

FIS AML Compliance Manager is an advanced AML solution that enables financial institutions' ability to be more efficient and effective at meeting their regulatory obligations.

- Advanced Technology
- Operational Efficiency
- Effective Governance
- Domain Expertise

AML Compliance Manager

- **Workflows** – Accelerate decision-making while maintaining consistent application of policies, operational mandates and internal approval standards. Tailor approval and task workflows while providing a single view of the customer across alerts, cases and regulatory filings – all governed by comprehensive user permissions.
- **Approval processes** – Easily configure single- or multi-step approvals for various alert and case types as well as government report preparation. Accelerate approvals by defining which steps can occur sequentially or in parallel.
- **Efficient operations** – Enhance investigative collaboration by allowing analysts to add tags, notations and documents at the customer, alert and case levels. Information access is managed by permissions that facilitate sharing while supporting compliance with evolving privacy regulations.

Dynamic risk rating

With FIS AML Compliance Manager you can monitor customer risk across multiple dimensions of behavior – with sophisticated workflow tools to suit the broadest range of account, transaction and customer types. A risk-based approach is achieved by applying dynamic customer risk ratings while monitoring for changes in attributes, behaviors, beneficial ownership status and other factors requiring Enhanced Due Diligence.

With real-time customer risk profiling, you can deliver a positive experience to new customers during the onboarding process and dynamically adjust risk ratings, as necessary, in support of global KYC regulations.

Workflow automation

The unified alert and case manager span an array of AML-related alerts, including relationships across alerts, allowing you to define workflow automation rules. This option accelerates the decision process and facilitates optimal use of investigative resources.

With a focus on improving efficiency, robotic process automation concepts streamline operations and improve communication by executing actions based on predefined criteria. Automated actions include creating a case, assigning alerts to an existing case, starting an

Advanced Technology

- Explainable Artificial Intelligence (xAI)
- Machine Learning Analytics
- Adaptive Behavioral Profiling

Operational Efficiency

- Process Automation
- Dashboard Reporting
- Reduced False Positives

Effective Governance

- Advanced Alert and Case Management
- Efficient Approval Processes
- Flexible Configuration

Domain Expertise

- Designed by bankers for bankers
- Expert core data integration
- Regulatory compliance experts

approval process, adding resubmission dates and logging actions. When regulatory reporting is required, the status of reporting functions is monitored, including the electronic report submission. User and automated actions are stored for audit purposes.

Address today, position for tomorrow

The challenges of AML compliance and the technologies needed to tackle those challenges are different today than just a few years ago. FIS AML Compliance Manager incorporates the latest analytic technology and cloud-computing architectures to provide an environment that will help you achieve greater efficiency of AML compliance resources, strengthen financial crime detection capabilities and remain adaptable to the AML compliance challenges of tomorrow.

Contact us

For more information about FIS AML Compliance Manager solution or the unique advantages of partnering with FIS Fraud, Risk and Compliance, visit www.fisglobal.com or call 877.776.3706.