

FC Stone Financial Inc. (INTL)	7.02	7.12	7.10	6.77	7.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Instinet LLC (INST)	0.45	0.58	0.00	0.00	0.43	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Material Aspects

Citadel Securities (CDRG)

- The Firm does not receive incentives for equaling or exceeding an agreed upon order flow volume threshold;
- The Firm is not penalized for failing to meet an agreed upon minimum order flow threshold ; and
- The Firm does not have agreements in place regarding the minimum amount of order flow that the Firm sends to a venue.

Knight Equity Markets (NITE)

- The Firm does not receive incentives for equaling or exceeding an agreed upon order flow volume threshold;
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UBS Securities LLC (UBSS)

- The Firm does not receive incentives for equaling or exceeding an agreed upon order flow volume threshold;
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G1 Execution Services (ETMM)

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GTS Securities LLC (GTSM)

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Two Sigma Securities (SOHO)

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Jane Street Capital LLC (JNST)

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July 2022

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of NonDirected Orders	Non-Marketable Limit Orders as % of NonDirected Orders	Other Orders as % of Non-Directed Orders
100.00	33.07	0.81	1.68	64.44

Venues

Venue Non-directed Order Flow	NonDirected Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non - Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non - Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)	22.47	22.56	20.42	21.78	22.47	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Knight Equity Markets (NITE)	18.09	18.19	18.14	15.97	18.09	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
JANE STREET CAPITAL LLC (JNST)	14.78	14.63	13.84	15.36	14.85	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
GTS SECURITIES LLC (GTSM)	13.24	13.27	8.91	9.51	13.37	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
G1 Execution Services (ETMM)	11.21	11.34	9.54	10.87	11.17	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
FC Stone Financial Inc. (INTL)	9.19	9.22	7.59	7.71	9.24	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
UBS Securities LLC (UBSS)	6.95	6.68	13.87	11.08	6.90	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
TWO SIGMA SECURITIES (SOHO)	3.83	3.72	7.52	7.46	3.75	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Instinet LLC (INST)	0.20	0.30	0.00	0.00	0.16	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Raymond James & Associates Inc. (RAJA)	0.04	0.08	0.16	0.26	0.01	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

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July 2022

Option Contracts

Summary

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0.00	0.00	0.00	0.00	0.00

Venues

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Instinet LLC (INST)	0.40	0.48	0.00	0.00	0.39	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
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August 2022

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of NonDirected Orders	Non-Marketable Limit Orders as % of NonDirected Orders	Other Orders as % of Non-Directed Orders
100.00	32.76	0.87	2.02	64.36

Venues

Venue Non-directed Order Flow	NonDirected Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non - Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non - Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Citadel Securities (CDRG)	22.30	22.34	20.32	21.43	22.34	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Knight Equity Markets (NITE)	17.99	17.78	18.13	16.60	18.14	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
JANE STREET CAPITAL LLC (JNST)	14.84	14.88	13.76	14.96	14.83	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
GTS SECURITIES LLC (GTSM)	12.93	12.72	9.35	9.52	13.19	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
G1 Execution Services (ETMM)	11.62	11.73	10.34	10.23	11.63	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
FC Stone Financial Inc. (INTL)	9.01	8.98	6.70	7.26	9.11	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
UBS Securities LLC (UBSS)	7.10	7.21	12.95	12.16	6.81	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
TWO SIGMA SECURITIES (SOHO)	3.96	4.00	7.99	7.49	3.77	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Instinet LLC (INST)	0.21	0.31	0.00	0.00	0.17	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Raymond James & Associates Inc. (RAJA)	0.03	0.05	0.46	0.34	0.01	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

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August 2022

Option Contracts

Summary

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0.00	0.00	0.00	0.00	0.00

Venues

GTS SECURITIES LLC (GTSM)	8.09	8.30	8.77	8.97	8.04	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
TWO SIGMA SECURITIES (SOHO)	8.00	7.97	8.04	7.02	8.01	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
FC Stone Financial Inc. (INTL)	7.02	7.01	6.33	7.20	7.02	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Instinet LLC (INST)	0.26	0.33	0.00	0.00	0.25	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

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September 2022

Option Contracts

Summary

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