



CASE STUDY

GLOBAL INVESTMENT MANAGER OPTIMIZES COLLATERAL MANAGEMENT AND ALLOCATION

Tier 1 insurance/asset manager selects FIS® Global Inventory and Optimization Platform

A global client with over \$1.5T in assets under management, operating in multiple entities and investment funds representing house and external mandates, needed a real-time way to consolidate entities and optimize collateral allocations. They also wanted to reduce costs and assure compliance with Solvency II and UMR regulations.



Challenges

- Growing collateral requirements with no means to optimize allocations
- Need to internalize collateral upgrade for reduced cost
- Assured compliance with fund segregation requirements
- Manual processes and risk requiring fully automated solution



Solution

- Consolidate global inventory across house and client entities in real time
- Establish internal market for collateral upgrades and optimize allocation across entities
- Maintain compliance at reduced cost
- Remove manual processes and risk through automation



Why FIS?

- Front-, middle- and back-office process automation for securities finance and collateral management
- Robotic process automation workflows and real-time connectivity
- Customizable platform to meet specific needs

“This offering will simplify managing liquidity and collateral and greatly impact traders’ ability to maximize their securities lending returns. It’s cost-effective and provides clients with fast, accurate views of their inventory.”

Igor Salzgeber
Head of Product Management,
Securities Finance and Collateral, FIS

Share your challenges. We'll help you solve them.

Triggers for change

With more than 30 brokers performing activities across OTC derivatives, listed derivatives and securities finance and funding, our global insurance/asset manager client needed a single solution for managing, trading and optimizing securities finance and collateral.

As their collateral requirements grew, they had no way of optimizing allocations, given their global inventory was siloed across house and client entities. Further, global compliance concerns were adding costs and risks to an operation that was significantly manual in nature. The time had come to consolidate and automate for better efficiency, performance and optimization.

Solution for collateral management and optimization

By adding Global Inventory and Optimization Platform alongside their existing securities finance and collateral infrastructure, the client achieved the goals of optimizing collateral allocations, reducing costs and maximizing securities finance revenue.

Our API-based, cloud-native offering increased non-cash collateral use in support of cash and collateral management, while also providing real-time connectivity and position data aggregation and analytics within a globalized view of inventory.



Faster determination of assets available for optimization



Minimized cost of collateral



Maximized funding capacity



Reduced risk through better compliance



Maximized returns from securities lending

Experience true global inventory transparency and optimization today.

About FIS

FIS is a leading provider of technology solutions for merchants, banks and capital markets firms globally. Our more than 55,000 people are dedicated to advancing the way the world pays, banks and invests by applying our scale, deep expertise and data-driven insights. We help our clients use technology in innovative ways to solve business-critical challenges and deliver superior experiences for their customers. Headquartered in Jacksonville, Florida, FIS is a Fortune 500® company and is a member of Standard & Poor's 500® Index.



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