SGN Securities
New instruments and trading venues, shifting investment strategies and regulatory changes challenge existing business processes every day. The need for integrated business processes is growing. Investment firms demand: flexibility in a cross-asset environment as they pursue returns, high-performance connectivity with global counterparties, and automation of the entire trade life cycle.

The SGN network plays a key role in addressing these challenges for financial institutions worldwide. SGN helps to maximize operational efficiency, while reducing the technology footprint.

SGN Securities streamlines the entire end-to-end trade life cycle from pre-trade, to trade, to post-trade.
Pre-trade Solutions

SGN enhances the pre-trade process by delivering Indications of Interest (IOIs) and global market data directly to the trader workstation, allowing them to focus on the information that matters. SGN continues the trade life cycle by routing orders through its robust global network.

Indications of Interest

SGN customers view relevant real-time liquidity from trading partners for faster trade analysis and informed negotiations in order to minimize market impact.

- Receive IOIs from over 60 brokers for greater market insight
- Leverage standard FIX messaging industry protocols
- Access through more than 40 certified OMS providers
Trading Solutions

Order routing

Connect to broker, custodian and interested party destinations with one connection. A single connection enables SGN customers to reduce an otherwise time-consuming and costly task of managing individual connections. SGN routes algorithmic, high-touch and direct market access (DMA) orders, incorporating custom tags and strategies.

Provides multi-asset order-routing capabilities for institutional asset managers, hedge funds, banks and trusts and broker-dealers globally

- Orders may be submitted in FIX and proprietary message format; or entered online through SGN Securities portal.
- Uses high-speed, low-latency routing options that are adaptable to any workflow.

Connecting the global trading community
Pre-trade Solutions

SGN provides post-trade messaging solutions to institutional asset managers, hedge funds, custodians and broker-dealers globally. SGN combines advanced routing and transformation logic with extensive industry expertise allowing it to deliver powerful straight-through processing solutions that help customers improve operational efficiency and grow their business.

Allocations

Customers can transmit allocation instructions to any broker-dealer and/or counterparty destination world-wide, regardless of format. SGN can transform complex settlement requirements to ensure proper instructions are delivered to the appropriate destinations.

- More efficient process that replaces traditionally manual workflows.
- Customer data supported by SGN Inform FIS’ post-trade standing instructions database.
- Customers easily manage their settlement data on FIS’ portal.
- Supports complex step-out and trade-away workflow scenarios, along with omnibus account level.

Settlement notifications

SGN offers a complete suite of fully automated custodial and interested party messaging services that integrates with an existing order management system (OMS). Outbound protocols include SWIFT, FIX, proprietary, web format or standard extracts.

- Streamlines workflow
- Automates settlement and reconciliation process
- Helps improve operational efficiency
Confirm services

SGN streamlines trade confirmation and affirmation processing by leveraging dedicated, high-speed connectivity to the industry market participant and direct relationships.

- Streamlined workflow facilitates faster and more accurate matching and affirm processes.
- Automated processing significantly decreases both trade errors and internal resource requirements associated with back-office processing.
- Confirms are archived for customer retrieval

SWIFT

Leverage SGN’s reliable infrastructure and domain expertise to experience the benefits of SWIFT membership — without the in-house resource requirements to install and maintain a SWIFT operation. Outsourcing your firm’s SWIFT connectivity eliminates the resources needed to implement and support a SWIFT environment in-house.

- Certified SWIFT Service bureau in the U.S. and Europe
- Extended support available to other SWIFTNet solutions

TRANSACTION COST ANALYSIS

dalphaZoom is FIS’ transaction cost analysis (TCA) platform that allows buy- and sell-side users to zoom into their execution performance. dalphaZoom assesses your trade executions in a comprehensible format that can be viewed anytime.

Through dalphaZoom, you can evaluate historical data using industry and custom benchmarks in order to make informed decisions when evaluating your strategy, broker, algorithm and liquidity venues. FIS will assist in the post evaluation of your orders and recommend the most optimal execution strategy for your routes.

- Amass and normalize trade data into a comprehensivand interactive report
- Measure cost and performance against industry standard benchmarks
- Monitor execution performance on multiple levels
- Identify areas to improve trading execution
About FIS

FIS is a global leader in financial services technology, with a focus on retail and institutional banking, payments, asset and wealth management, risk and compliance, consulting and outsourcing solutions. Through the depth and breadth of our solutions portfolio, global capabilities and domain expertise, FIS serves more than 20,000 clients in over 130 countries. Headquartered in Jacksonville, Florida, FIS employs more than 53,000 people worldwide and holds leadership positions in payment processing, financial software and banking solutions. Providing software, services and outsourcing of the technology that empowers the financial world, FIS is a Fortune 500 company and is a member of Standard & Poor’s 500® Index. For more information about FIS, visit www.fisglobal.com.